[Webinar] AML/CFT/PF in Practice: Managing Client Risk, Red Flags and Reporting Obligations in Today's Legal Landscape

Scan the QR code below to register:

19 August 2026, Wednesday
2.30pm – 5.45pm

This programme is conducted as a live webinar.

SILE ACCREDITED CPD ACTIVITY

No. of Public MEC Points:	Practice Area:	Training Level:
3.0	**Mandatory Ethics Component**	**General**

About the Programme

The AML/CFT landscape for legal practitioners continues to evolve, with increasing regulatory emphasis on client risk assessment, source of wealth/source of funds enquiries, beneficial ownership, high-risk jurisdictions, sanctions exposure, proliferation financing, ongoing monitoring, and suspicious transaction reporting. This webinar will examine practical issues that lawyers and law practices may encounter when advising clients, accepting instructions, handling transactions, and managing continuing client relationships. Through a combination of regulatory update, practical case studies and panel discussion, the programme will consider how practitioners can identify material red flags, calibrate customer due diligence measures, document risk-based decisions, and respond appropriately where suspicion arises.

Learning Objectives

Participants will gain an updated understanding of the AML/CFT/PF obligations applicable to lawyers and law practices, with particular attention to practical risk assessment, red flags, source of wealth and source of funds enquiries, ongoing monitoring, and suspicious transaction reporting. The programme will also assist practitioners in considering how AML/CFT/PF issues may arise in real estate, corporate, private client and cross-border matters.

Learning Outcomes

By the end of the programme, participants should be able to identify key AML/CFT/PF risks in legal practice, recognise common and emerging red flags, assess when enhanced due diligence or ongoing monitoring may be required, understand the practical considerations around STR filing, and appreciate the importance of proper documentation, internal controls and escalation within the law practice.

Admin Note to Singapore Practitioners and s36B Foreign Lawyers in relation to the Mandatory CPD Scheme:

No of Public MEC Points: 3.0
Practice Area: Mandatory Ethics Component
Training Level: General

Participants who wish to obtain CPD Points are reminded that they must comply strictly with the Attendance Policy set out in the CPD Guidelines. For this activity, this includes logging in at the start of the webinar and logging out at the conclusion of the webinar in the manner required by the organiser, and not being away from the entire activity for more than 15 minutes. Participants who do not comply with the Attendance Policy will not be able to obtain CPD Points for attending the activity. Please refer to http://www.sileCPDcentre.sg for more information.

NOTICE OF PHOTOGRAPHY AND RECORDING OF WEBINAR

Photographs, audio, and video recordings of this webinar may be taken or captured by the Law Society of Singapore (the “Law Society”) and its authorised representatives.

By participating in this webinar, you consent to the Law Society's photography and recording of your image, likeness, and voice. You also grant the Law Society the right to use your image, likeness, and voice for any marketing and promotional activities conducted in connection with the webinar without any further notification or compensation to you. This includes but is not limited to, the publication of publicity on the Law Society's website, social media platforms, brochures, press releases, and reports. Additionally, you hereby waive any right to inspect, approve, edit, or manipulate any pictures, photos, screenshots, video, and audio recordings taken by the Law Society.

As this webinar may feature confidential and/or copyrighted content, please refrain from any unauthorised photography, recording and/or digitising of the webinar and presentation materials.

All photographs and videos taken by the Law Society and its authorised representatives are the property of the Law Society, and shall not be reproduced without the Law Society's express prior written consent.

Programme Outline

Time	Programme
2.00pm – 2.30pm	**Registrants to log on**
2.30pm – 3.10pm	**Red Flags in Practice - Transactional, Corporate, Real Estate and Private Client Scenarios** Marianne Lim – Counsel, Jacque Law LLC
3.10pm – 3.50pm	**Guidance on Analysis of Client Risk, Material Red Flags, SOW, Ongoing Monitoring and STR Filing Timeline** Jayna Koh – Senior Deputy Director, Anti- Money Laundering/Countering the Financing of Terrorism Division, Ministry of Law
3.50pm – 4.30pm	**Insights on STRs filed by the Legal Profession** Suspicious Transaction Reporting Officer, Commercials Affairs Department, Singapore Police Force
4.30pm – 4.40pm	**Break**
4.40pm – 5.20pm	**Suspicion, STRs, Continuing to Act and Internal Controls** Terence Tan – Director, Drew & Napier LLC
5.20pm – 5.45pm	**Panel Discussion** <u>Moderator</u> Cheryl Chong – Partner, Allen & Gledhill LLP <u>Panellists</u> Marianne Lim – Counsel, Jacque Law LLC Terence Tan – Director, Drew & Napier LLC
5.45pm	**End**

Speakers' Profiles

Marianne Lim – Counsel, Jacque Law LLC

Marianne Lim is a corporate and commercial lawyer at Jacque Law LLC. With over 20 years of experience across public service, private practice and senior in-house roles, she works with clients on commercial transactions, corporate governance and regulatory compliance. She is a Certified Anti-Money Laundering Specialist (ACAMS) and Certified Information Privacy Manager, and serves as Vice-Chairperson of the Law Society of Singapore's Anti-Money Laundering Committee.

Her practical AML/CFT experience spans private investment holding companies, Designated Non-Financial Businesses and Professions (DNFBPs) and regulated financial institutions. She has designed compliance programmes for regulated financial and reporting institutions across Singapore, Australia, Hong Kong and Malaysia, and as Group Director, Legal & Compliance at Boardroom Pte Ltd, she led an overhaul of the firm's AML/CFT compliance infrastructure by standardising processes relating to customer due diligence, risk-based assessments, transaction monitoring and suspicious transaction reporting. Her experience includes representing companies and their officers in regulatory inspections and investigations conducted by MAS, ACRA, ASIC and other regulators, and leading licensing and regulatory applications across APAC.

Drawing on her cross-disciplinary background spanning litigation, shipping investments and operations, corporate transactions and regulatory compliance, she will speak on identifying and managing red flags across transactional, corporate and client-facing scenarios.

Jayna Koh – Senior Deputy Director, Anti- Money Laundering/Countering the Financing of Terrorism Division, Ministry of Law

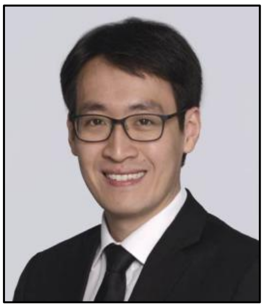
Jayna is Senior Deputy Director of the Anti-Money Laundering / Countering the Financing of Terrorism Division at the Ministry of Law. In this role, she leads the supervision of AML/CFT compliance across several designated non-financial business and professions, including law practice entities, precious stones and precious metals dealers, pawnbrokers, and moneylenders. She is also responsible for the development and implementation of AML/CFT/CPF policy and legislation for these sectors, ensuring alignment with international standards and evolving risk developments.

Prior to joining the Ministry of Law, Jayna spent 12 years at the Monetary Authority of Singapore as a banking supervisor specialising in AML/CFT. She oversaw banks and capital markets intermediaries, and was involved in numerous supervisory inspections. Jayna began her career in a public accounting firm, auditing financial institutions, insurers, and multinational corporations.

Suspicious Transaction Reporting Officer, Commercials Affairs Department, Singapore Police Force

The Suspicious Transaction Reporting Office (STRO), Singapore's Financial Intelligence Unit, receives and analyzes Suspicious Transaction Reports (STRs), Cross-border Cash Movement Reports (CMRs), and Cash Transaction Reports (CTRs) to detect Money Laundering, Terrorism Financing, and other serious crimes. Where possible offences are detected, STRO disseminates the financial intelligence to the relevant enforcement and regulatory agencies.

The STRO engages the financial institutions (FI) sectors and the designated non-financial businesses and professions (DNFBPs) sectors to raise the usefulness of information in reports filed by them. STRO has also developed publications to provide suspicious indicators, case studies and trends detected from our analysis of STRs. Such publications are disseminated to FIs and DNFBPs via the STRO Online Notices and Reporting Platform (SONAR) and through the respective industry associations and regulators



Terence Tan – Director, Drew & Napier LLC

Terence is a Director in Drew & Napier's Dispute Resolution practice, and he handles trial and appellate work at all Court levels including the General Division of the High Court and the Court of Appeal. He has experience handling a wide range of corporate, commercial, and other complex disputes in litigation and international arbitration. His practice is focused on shareholder disputes, banking and finance disputes, civil fraud and conspiracy, and trust law disputes.

Terence also has an active criminal law practice and has acted as lead counsel in numerous trials and appeals involving various commercial and non-commercial criminal offences. Terence is also an active volunteer with the Criminal Legal Aid Scheme, under which he offers pro bono legal representation to the needy.

Terence graduated from the National University of Singapore (NUS), where he was on the Dean's List for multiple years, on the overall Dean's List, and was awarded the Gower Prize as the top student in Company Law.

Cheryl Chong – Partner, Allen & Gledhill LLP

Cheryl is a Partner in the Litigation & Dispute Resolution Department.

She is a commercial litigator who has represented clients before all levels of the Singapore courts and arbitral tribunals in a wide range of matters including shareholder and investment disputes, professional liability claims, defamation, criminal prosecution and employment disputes.

She has particularly expertise in white collar and investigations, employment, as well as regulatory and compliance matters. She regularly advises public and private companies, financial institutions and high net worth individuals on contentious issues such as fraud, internal investigations, employee misconduct, anti-money laundering, sanctions, insider trading and corruption. She also advises clients on non-contentious matters ranging from drafting employment contracts and policies to conducting compliance trainings.

Registration Fees

Membership Category	Fees (Inclusive of 9% GST and course materials)
Law Society Member / Employee from small law firm (i.e. 5 or less lawyers)	$76.30
Law Society Member / Employee from mid or large law firm (i.e. 6 or more lawyers)	$87.20
Law Society Affiliate ***Join as an Affiliate here!***	$166.77
Practice Trainee	$65.40
Non-Member	$196.20

To register, please visit our website at: https://www.lawsociety.org.sg/CPD-Portal/Law-Society-Events.
For enquiries, please contact us at lnd@lawsoc.org.sg or 6530-0266.

1. Terms and Conditions

1.1 Registration closes on the date as stipulated on the registration page or when all seats are filled.

1.2 The registration fee is due and payable upon registration and must be received prior to the programme.

1.3 Payment must be made by the closing date stated. Registration will only be confirmed upon receipt of full payment. An email confirming your registration will be sent to the email address provided in your registration, and/or a message confirming your registration will be displayed on the Website. If you do not receive confirmation of your registration within twenty-four (24) hours of your registration, please contact the Law Society L&D team at lnd@lawsoc.org.sg.

1.4 The Law Society reserves the right to refuse to register or admit any participant, and to cancel or postpone the programme.

1.5 If you are unable to attend, a substitute delegate is welcomed, provided that the Law Society is notified in writing of the name and particulars of the substitute delegate at least 3 working days before the programme.

2. Cancellation and Refund of Fees

2.1 Allocation of seats is on a first-come-first-served basis and limited seats for each programme.

2.2 Participant who cancels their registration before the commencement date shall be liable to pay the percentage of the registration fee set out as follows:

i. 20 calendar days before commencement date: 25% of registration fee.

ii. 8 to 19 calendar days before commencement date: 50% of registration fee.

iii. 7 calendar days or less before commencement date: 100% of registration fee.

2.3 Participants who cancel their registration without prior payment made shall also be liable to the cancellation fee set out in 2.2. In the event that the payment for cancellation fee is not received despite multiple chasers, a tax invoice will be issued and mailed to your law practice/organisation.

2.4 Participant who is unable to attend the programme due to medical exigencies will be subject to a cancellation fee of 50% of registration fee. Supporting document(s) has to be submitted to The Law Society of Singapore within 3 working days after the commencement of the programme.

3. Intellectual Property Rights

3.1 The Law Society reserves all its intellectual property rights (including but not limited to all copyright) which it either owns or holds as licensor in the programme and any materials forming part of the programme (including but not limited to written materials, slides, recordings, assessments and downloadable materials) made available to you as part of the programme ("**Law Society Materials**") and you agree that you will not do anything to infringe or prejudice those rights.

3.2 You may view (and where required to do so, download certain) Law Society Materials for your personal educational and training purposes only, subject to the restrictions set out in clause 3.3 below.

3.3 You may not:

3.3.1. download or copy onto any device or medium any Law Society Materials other than as may be required as part of the programme;

3.3.2. sell, sublicense, distribute, display, broadcast, store, modify, translate or transfer any Law Society Materials;

3.3.3. use any Law Society Materials to create any derivative materials or competitive products;

3.3.4. use any automated, programmatic, scripted or other mechanical means to access the programme;

3.3.5. allow anyone except the individual to whom access to an programme has been allocated;

3.3.6. allow any third parties to access, use or benefit from the Law Society Materials in any way; or

3.3.7. share your password or logon details with anyone.

4. Data Protection

4.1 All personal information you disclose to us in the registration form will be processed in accordance with the Personal Data and Protection Act. We will not share your contact details without your written consent.

4.2 By submitting this registration form, you consent that the Law Society may collect, use and disclose the personal data you provide in this form to administer your registration for this event and for statistical, analysis and planning purposes, solely related to this programme. You consent that we may disclose: (i) aggregate participant data to speakers to tailor their presentations for this event; (ii) aggregate participant data for publicity purposes.

4.3 You agree that any personal information you submit as part of your registration for the programme is accurate and that you have all necessary permissions to submit such personal information.